

Message Text

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DRAFTED BY: LA/DR, JRBREEN; LA/DR:CJSTOCKMAN, JR.

APPROVED BY: ARA/LA:JBKUBISCH

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C O R R E C T E D C O P Y (PARA 10 LINE 7 OMITTED)

E.O. 11652 N/A

SUBJECT: THE WORKING GROUP ON SCIENCE AND THE TRANSFER OF
TECHNOLOGY.

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1. SUMMARY: IT IS NECESSARY TO FOLLOW-UP SECRETARY

KISSINGER'S CONVERSATION WITH FONMIN SILVEIRA IN ATLANTA AND CONFIRM GOB WILLINGNESS TO HOST MEETING OF WORKING GROUP ON SCIENCE AND THE TRANSFER OF TECHNOLOGY. OUR OBJECTIVE WILL BE TO DEVELOP THE HIGHEST POSSIBLE DEGREE OF COINCIDENCE BETWEEN U.S. AND GOB VIEWS ON THE TIMING AND TERMS OF REFERENCE OF THE WORKING GROUP, AS WELL AS AGREEMENT TO FIELD DELEGATIONS WHICH INCLUDE PARTICIPATION OF EMINENT SCIENTISTS AND TECHNOLOGY MANAGERS, AS WELL AS GOVERNMENT OFFICIALS. THIS MESSAGE PROVIDES TALKING POINTS FOR DEMARCHE TO FONMIN COVERING REQUIRED NEXT STEPS TO ACCELERATE PREPARATIONS FOR MEETINGS, AND OFFERS IDEAS ON PROPOSED TERMS OF REFERENCE, AND COMPOSITION OF DELEGATIONS.

2. BACKGROUND: THE MFM IN THE WASHINGTON MEETING AGREED TO "CONVENE A WORKING GROUP OF GOVERNMENTAL REPRESENTATIVES TO STUDY THE POSSIBILITY OF CREATING A COMMITTEE ON SCIENCE AND THE TRANSFER OF TECHNOLOGY" ... TO MATCH SCIENTIFIC CAPABILITY WITH PRACTICAL NEEDS AND OVERCOME OBSTACLES TO THE FLOW AND USE OF TECHNOLOGY IN THE INDUSTRIALIZATION PROCESS. THE MEMBERS OF THE WORKING GROUP WILL BE DESIGNATED AS SOON AS POSSIBLE AND REQUESTED TO REPORT TO FONMINS IN A PERIOD OF NOT MORE THAN 6 MONTHS. IN ATLANTA, FONMIN SILVEIRA INFORMED SECRETARY THAT GOB WOULD BE WILLING TO HOST MEETINGS OF WORKING GROUP.

3. AMBASSADOR IS REQUESTED TO ARRANGE MEETING WITH FOREIGN MINISTER TO CONFIRM GOB WILLINGNESS TO HOST THESE MEETINGS AND BEGIN PROCESS OF ISSUING INVITATIONS, AND MAKING PREPARATIONS. DURING COURSE DISCUSSION YOU SHOULD SEEK OPPORTUNITY TO EXPRESS U.S. VIEW THAT BECAUSE OF SHORT DEADLINE I.E., 6 MONTHS - FOR A REPORT TO THE MFM, THE FIRST MEETING OF THE WORKING GROUP SHOULD BEGIN AROUND MID-JULY. AS WE ENVISION IT, SCENARIO MIGHT CALL FOR AN INITIAL MEETING OF DELEGATIONS TO THE WORKING GROUP, FOLLOWED BY DRAFTING SESSIONS DURING AUGUST TO BE ENTRUSTED TO A SMALL GROUP OF TECHNICAL EXPERTS AND COUNTRY REPRESENTATIVES APPOINTED BY THE FULL WORKING GROUP. THIS PROCEDURE WOULD LEAVE TIME FOR A FINAL WORKING GROUP MEETING TO CONSIDER DRAFT REPORT SOMETIME LIMITED OFFICIAL USE

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DURING SEPTEMBER AND APPROVE SUBMISSION OF FINAL REPORT TO THE FOREIGN MINISTERS.

4. IT WILL BE EQUALLY IMPORTANT TO EXCHANGE IDEAS ON SUBSTANCE OF THE TERMS OF REFERENCE OF THE WORKING GROUP. ALTHOUGH THE U.S. INITIALLY ADVANCED THE PROPOSAL FOR A COMMITTEE ON SCIENCE AND THE TRANSFER OF TECHNOLOGY AS A RESPONSE TO LATIN AMERICAN CONCERNS ON THIS MATTER,

WE ARE NOT WEDDED TO THIS MECHANISM. WHAT IS MOST IMPORTANT IS THAT ANY DEVICE ON WHICH WE MAY SETTLE HAVE THE CAPACITY TO DEAL WITH CERTAIN FUNDAMENTAL ATTRIBUTES OF THIS MOST DIFFICULT PROBLEM. FOR EXAMPLE, ANY APPROACH SHOULD ATTEMPT TO DISTINGUISH EACH INDIVIDUAL COUNTRY'S NEEDS FOR TECHNOLOGY, AND IDENTIFY POSSIBILITIES FOR STIMULATING COMMERCIAL APPLICATION OF TECHNOLOGY SUITABLE TO A COUNTRY'S UNIQUE CIRCUMSTANCES. SECOND, THE TECHNOLOGY MOST SUITABLE FOR COMMERCIAL APPLICATION, PARTICULARLY INDUSTRIAL TECHNOLOGY, IS FREQUENTLY OWNED BY THE VARIOUS ENTERPRISES OF THE U.S. PRIVATE SECTOR. GOVERNMENTS CANNOT FORCE TRANSFER OF THIS PROPRIETARY TECHNOLOGY, BUT THEY CAN ENCOURAGE IT. THUS, OUR STUDIES LEAD US TO THE CONCLUSION THAT THE OBJECTIVE OF TECHNOLOGY TRANSFER IS BEST -ACHIEVED BY MECHANISMS WHICH FORGE DIRECT LINKS BETWEEN INNOVATORS AND COMMERCIAL AND INDUSTRIAL USERS OF TECHNOLOGY. TWO CRITICAL LINKAGES MUST BE FORGED: (1) WITHIN OUR COUNTRIES, BETWEEN SCIENTIFIC AND ENGINEERING INSTITUTIONS AND PRODUCTIVE ENTERPRISES; (2) BETWEEN OUR COUNTRIES, LINKING COMPARABLE GROUPS TO EACH OTHER.

5. WE SEE THE PROPOSED COMMITTEE ON SCIENCE AND THE TRANSFER OF TECHNOLOGY AS ONE WAY TO PROVIDE THE FRAMEWORK FOR ACHIEVING THESE OBJECTIVES PARTICULARLY IN SUCH VITAL AREAS AS FOOD AND ENERGY WHICH RECEIVED EMPHASIS IN SECRETARY KISSINGER'S SPEECH IN ATLANTA. WE ARE PREPARED TO HAVE THE WORKING GROUP CONSIDER OTHER ALTERNATIVES. WE WOULD HOPE THAT WHATEVER MECHANISM IS DEvised WOULD BE PREPARED TO SERIOUSLY ADDRESS MEANS TO ACHIEVE THESE OBJECTIVES. OUR JOINT EFFORTS IN BRAZIL

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TECHNOLOGY ARE REFLECTED IN THE PROGRAM FOR WHICH AID HAS

AUTHORIZED A \$15 MILLION LOAN FOR SCIENCE AND TECHNOLOGY. WE WOULD HOPE THAT BOTH THE BRAZILIANS AND WE COULD DRAW UPON OUR EXPERIENCE IN THAT PROGRAM FOR MORE GENERAL APPLICATION IN DRAWING UP THE TERMS OF REFERENCE OF THE COMMITTEE.

6. WE FEEL THAT THE WORKING GROUP SHOULD STUDY ALL PROPOSALS WITHOUT PRECONCEPTIONS. THIS COULD INCLUDE THE IMPORTANT QUESTION OF THE FEASIBILITY OF A CODE OF CONDUCT FOR THE TRANSFER OF TECHNOLOGY IF IT IS A CONSENSUS TO DO SO. IF THE FONMIN RAISES THIS QUESTION YOU SHOULD REMIND HIM THAT CODES OF CONDUCT ON THIS MATTER ARE ALREADY BEING DISCUSSED IN OTHER WORLD BODIES. ALSO,

THE OTHER WORKING GROUP THAT THE MFM DECIDED TO ESTABLISH
(ON PRINCIPLES FOR TRANSNATIONAL ENTERPRISES) IS EX-
PECTED TO DISCUSS PRINCIPLES APPLICABLE TO CODES OF CON-
DUCT. WE WOULD NOT, HOWEVER, OBJECT TO RECOMMENDATIONS
THAT WHATEVER MECHANISM IS AGREED TO THE WORK-
ING GROUP ON
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